KURITA AquaChemie

KURITA AQUACHEMIE INTERNAL AUDIT REPORT ☐	KAC-IQ-03 Rev 02 Date 01st April 2022

1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Mazen Barajaa/Gaith Ghezawi	Top Management	KAC (KASA & KAFE)
2	Raghvendra Kumar	QC Supervisor	Operation

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
7.2	**Top Management**	**00**	**NA**

Summary of non-conformance and observation:

Requirements:

Organization shall have:

- Determined the necessary competence of person(s) doing work under its control that affects the performance and effectiveness of the quality management systems.
- Ensure that these people are competent on the basis of appropriate education, training or experience.
- Where applicable, take actions to acquire the necessary competence, and evaluate the effectiveness of the actions taken.
- Retain appropriate documented information as evidence of competence.

Non-Conformity/Observation

- Internal training is being provided related to HSE.
- Monthly safety news is being published and quizzes being conducted regularly.
- Internal and lead auditor training is planned for KASA & KAFE members.
- First aid provider external training for KASA personnels provided.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
30/06/2026	Mazen	30/06/2026

(Major /Minor/Obs/Opportunity for Improvement)

KURITA AQUACHEMIE INTERNAL AUDIT REPORT ☐	KAC-IQ-03 Rev 02 Date 01st April 2022

1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Sapan Parekh	Operations Supervisor	Operation
2	Raghvendra Kumar	QC Supervisor	Operation
3			

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
8.5.1	**Production and operations & Facility area**	**00**	**Minor**

Summary of non-conformance and observation:

Requirements:

The Organization shall implement and control the processes needed to meet the requirements for the provision of products and services by:

- Determining the requirements for the products and services.
- Establishing criteria for the processes and the acceptance of products and services.
- Determining the resources needed to achieve conformity to product and service requirements.
- Implementing control of the processes in accordance with the criteria.
- Determining, maintaining and retaining documented information to the extent necessary:
  1. To have confidence that the processes have been carried out as planned.
  2. To demonstrate the conformity of products and services to their requirements.

The output of this planning shall be suitable for Organization's operations.

Non-Conformity/Observation

- During customer visit SAMAC some observations for plant modification were raised to meet more safe conditions of operations, implemented those and completed, ***previous NC closed***.
- Production area was found neat and clean & well organized, all operators were equipped with PPEs.
- No deviation from the protocol was observed during production of material.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
30/06/2026		30/06/2026

**(Major /Minor/Obs/Opportunity for Improvement).*

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1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Mohammed Ahmad	Planning Coordinator	Customer Service and planning
2	Sapan Parekh	Operations Supervisor	Operation

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
8.2.1	**Customer Service and planning & Local purchase**	**00**	**NA**

Summary of non-conformance and observation:

Requirements:

Communication with customers shall include:

- Providing information relating to products and services.
- Handling enquiries, contracts or orders, including changes.
- Containing customer feedback related to products and services, including customer complaints.
- Handling or controlling customer products.
- Establishing specific requirements for contingency actions, when relevant.

Non-Conformity/Observation

- Verified the excel for projected orders for next six months, RM requirements for fulfilling the projected production plan, found up to the mark well-coordinated with related parties. **(Increased RM stock due to current regional scenario -*Positive observation)***
- Customer feedback found in place.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
	28/6/26	28/06/2026

**(Major /Minor/Obs/Opportunity for Improvement)*

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1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Raghvendra Kumar	QC Supervisor	Operation
2	Mohammad Ahmad	Planning Coordinator	Customer Service and planning
3	Sapan Parekh	Production Supervisor	Operation

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
9.1.1	**Quality control**	**02**	**Minor**

Summary of non-conformance and observation:

Requirements:

The organization shall determine:

a) what needs to be monitored and measured.

b) the methods for monitoring, measurement, analysis and evaluation needed to ensure valid results.

c) when the monitoring and measuring shall be performed.

d) when the results from monitoring and measurement shall be analyzed and evaluated.

The organization shall evaluate the performance and the effectiveness of the quality management system.

The organization shall retain appropriate documented information as evidence of the results.

Non-Conformity/Observation

- Conductivity meter calibration standard (1413 µS) expired- (ordered, waiting for delivery as per information from QC personnel)
- Spectrophotometer DR-3900 Calibration date is due. (quotation received action initiated will be and shared the report)
- pH cum conductivity meter calibration SOP implemented, ***previous NC closed***.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
29/6/26	29/06/2026	29/06/2026

(Major /Minor/Obs/Opportunity for Improvement)

KURITA AquaChemie

KURITA AQUACHEMIE
INTERNAL AUDIT REPORT ☐

KAC-IQ-03
Rev 02
Date 01st April 2022

1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Nirav Panchal	Executive Warehouse and operation	Warehouse/Operation
2	Raghvendra Kumar	QC Supervisor	Operation
3	Mohammed Ahmad	Planning Coordinator	Customer Service and planning

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
8.1	**Warehouse and Tank Farm**	**00**	**Minor**

Summary of non-conformance and observation:

Requirements:

Company plans, implements and controls the processes needed to meet the requirements for the provision of products and services, and to implement the actions by:

- Determine the requirements for the products and services.
- Establishing criteria for the processes and the acceptance of products and services.
- Determining the resources needed to achieve conformity to product and service requirements.
- Implementing control of the processes in accordance with the criteria.
- Determining, maintaining and retaining documented information to the extent necessary:
    1. To have confidence that the processes have been carried out as planned.
    2. To demonstrate the conformity of products and services to their requirements.

The output of this planning shall be suitable for Your Company's operations.

Non-Conformity/Observation

- Incoming and outgoing material checklist does not have information about the documents needed to verify during receiving or dispatching materials. Check lists must be updated as per requirements and the documents which need to be verified must be added to that. ***(Previous NC-verified & closed)***
- Warehouse found well organized and maintained cleanliness throughout the area.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
29/06/2026	29/06/2026	29/06/2026

**(Major /Minor/Obs/Opportunity for Improvement)*

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1. KEY PERSONNEL INTERVIEWED:

S NO.	NAME	DESIGNATION	DEPARTMENT/SECTION
1	Shameem Mohammed	Logistics Coordinator	Operation
2	Mohammed Ahmad	Planning Coordinator	Customer Service and planning
3	Sapan Parekh	Operations Supervisor	Operation

Standard

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☒ ISO 45001: 2018

Clause No.	Department Process	NC No.	NC Cat. *
8.4.1	**Customer Service and Logistics**	**01**	**Minor**

Summary of non-conformance and observation:

Requirements:

- A company shall determine and apply criteria for the evaluation, selection, monitoring of performance, and reevaluation of external providers, based on their ability to provide processes or products and services in accordance with requirements.

Non-Conformity/Observation

- Logistic services provider contract verified and found in place.
- Logistic service performance evaluation is not in place.

Auditor	Acknowledge by Auditee	Audit Date (DD/MM/YY)
29/6/26		29/06/2026

(Major /Minor/Obs/Opportunity for Improvement)