Global Manager Group

This is to certify that

RAVINDER SINGH JASWAL

Has successfully completed

Integrated Management System Internal Auditor Training

ISO 9001:2015, ISO 14001:2015 & ISO 45001:2018

On

May 16, 2024

The participant has solved workshops and participation of case studies as given in the EQHSMS auditor training Program

Certificate No.

vh2NxJSJnz

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ManiKandan Gopinath
Course Accreditation Manager

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IMS

FALCON Middle East	**ANNUAL INTERNAL AUDIT PLAN**	Doc. Ref. No. FALCON-PRO-02-FRM 02
		Effective Date: 01 April 2026
		Rev. No.: 05
		Page 1 of 1

Audit Objectives	• To confirm that the Occupational Health Safety management system has been implemented effectively and whether it complies with requirements of the standard ISO 9001:2015, ISO 14001:2015, ISO 45001:2018 as well as ADOSH SF ver 4.0. • To confirm the documented manual, policies and procedures are appropriate, adequate and are being followed. • To assess the effectiveness of the IMS implementation. • To provide feedback in order to facilitate continual improvements.
Audit Scope	• Electrical and Mechanical Contracting, Trading of Electrical Equipment, Location: Abu Dhabi, United Arab Emirates
Audit Criteria	• ISO 9001:2015, ISO 14001:2015, ISO 45001:2018 and ADOSH SF ver 4.0 • Organizational Requirements: Documentation - Policies, Manuals, Standard Operating Procedures, Guidelines, Checklist, Procedures, Forms and Records • Applicable statutory & regulatory requirements

SN	**Area / Department**	**Year 2026**											
		Jan	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**
1	Health Safety& Environment								✓				
2	Operations								✓				
3	Maintenance & Engineering								✓				
4	Human Resources & Training								✓				
5	Procurement & Supply Chain								✓				
6	Facilities & Administration								✓				
7	Field Sites								✓				
8	Office and Common Areas								✓				

	Prepared by:	**Reviewed by:**	**Approved by:**
Name	**Ravinder Singh**	**Tariq Badran**	**M AL Shaer**
Designation	**HSE Engineer**	**Project Manager**	**General Manager**
Signature			
Date	**13.07.2026**	**13.07.2026**	**13.07.2026**

Area / Department Audited: Administration (Top Management, HR, Finance, Procurement); Projects / Operations; QHSE documentation/ processes	**Audit Date & Time: 13 Aug 2026**

Audit Objective:

1. To confirm that the Occupational Health Safety management system has been implemented effectively and whether it complies with requirements of the standard ISO 9001:2015, ISO 14001:2015, ISO45001:2018 as well as ADOSH SF ver 4.0.
2. To confirm the documented manual, policies and procedures are appropriate, adequate and are being followed.
3. To assess the effectiveness of the IMS implementation.
4. To provide feedback in order to facilitate continual improvements.

Audit Scope:

Electrical and Mechanical Contracting, Trading of Electrical Equipment, Location: Abu Dhabi, United Arab Emirates

Audit Criteria:

1. ISO 9001:2015, ISO 14001:2015, ISO 45001:2018 and ADOSH SF ver4.0
2. Organizational Requirements: Documentation - Policies, Manuals, Standard Operating Procedures, Guidelines, Checklist, Procedures, Forms and Records
3. Applicable statutory & regulatory requirements

Key Personnel Involved:

Auditor/s	**Auditee/s**
Ravinder Singh Jaswal	**Administration(Project and site Team)**
	

Summary of the Audit Findings				
Number of non-conformities recorded:	**Major**	2	**Minor**	1
	Observation	0	**OFI**	1
Is there a need for follow-up audit:	**Yes**	✓	**No**	

Details of Internal Audit Findings:	**Classification of Internal Audit Findings:** • Major Nonconformity • Minor Nonconformity • Observation • Opportunity for Improvement (OFI)
No approved MSRA are found during audit and all Risk Assessment need to revise with new TAQ format	Major NC
No approved HSE plan found as per new format of TQD	Major NC
Legal Register not updated for the project	Minor NC
Need more online reporting of unsafe act, unsafe condition and Positive observation as per the requirement of TAQ, KPIS 2026	OFI

Classification of Internal Audit Findings:

Major Nonconformity

- total absence of a requirement of a Management System Standard
- total failure to meet all the requirements of a main clause of a Management System Standard
- system breakdown, i.e. occurrence of several non-conformities against a requirement of a Management System Standard
- ineffective quality controls that may lead to delivery of nonconforming products to the customer
- non-fulfillment of a requirement on people safety and health that may lead to loss of life, disability, impairment or severe illness
- non-fulfillment of a requirement of the environmental management system that may lead to a significant environmental pollution/ degradation
- non-compliance to legal requirements.

Minor Nonconformity

- any nonconformity that does not fall under the definition of a major nonconformity, e.g. failure to meet one requirement of a sub-clause of ISO 9001:2015, ISO 14001:2015, ISO 45001:2018.
- a single observed lapse in implementing a requirement of a procedure or instruction
- an isolated case which has minimal impact on the product or service quality, environmental protection, people safety or health.

Observation

- Lack of/ ineffective controls, which when not attended to, may lead to a non-conformity.

Opportunity for Improvement

- Lack of initiatives/efforts on improving quality, product reliability, cost savings, cost avoidance, working environment, employee's health and safety.

Overall Summary

Falcon Middle East has developed and established its integrated management system as evidenced by the identified processes and procedures that conforms to the requirements of ISO 9001:2015, ISO 14001:2015, and ISO 45001:2018.
The top management along with the staffs is working hard to implement the integrated management systems. Top management also has provided the following resources to support its implementation:

- Office and facilities
- Computer hardware and software
- Transportation facilities
- Communication facilities

During the audit process, there are reasonable commitment from the management and the team that was visibly seen and verified. These are well reflected in the policies, procedures and the involvement of all in implementing the IMS.
Based on the result of the audit, there are Major and Minor nonconformities, observations and opportunity for improvement (OFI) that were noted. These needs to be reviewed and re-evaluated as there have been lapses in their implementation.
Auditee/s will have to provide corrective actions with the identified non-conformities

The audit was conducted on the principle of random sampling and cannot cover each detail of the management system. It is therefore recommended that the management to check and review the findings organization-wide as weaknesses might still exist (in some departments) which were not explicitly and specifically mentioned in the report.
This audit conclusion is made according to the best knowledge of the assigned internal auditor/s based on objective evidences presented by the auditees and on actual observation and checking of the processes and procedures.

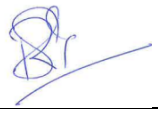
Positive Points

The management has shown commitment to establish and implement IMS complying with the requirements of ISO 9001:2015, ISO 14001:2015, ISO 45001:2018 standard. The organization demonstrated their ability to consistently provide product and services that meet customer requirements, comply with applicable statutory and regulatory requirements through effective application of the system including process for continual improvements.

- The top management' commitment to establish the IMS is well reflected in the policies, processes, procedures and also through the impeccable involvement of the General Manager and Department Heads in implementing the management system standard such as ensuring that internal audit is conducted, regular meetings held, providing training and development programs to its employees, etc.

- Strong support and willingness to continually improve was demonstrated from the team.

- The office's housekeeping is well maintained.

	Prepared by:	**Reviewed by:**	**Approved by:**
Name	**Ravinder Singh Jaswal**	**Tariq Badran**	**M AL Shaer**
Designation	**HSE Engineer**	**Project Manager**	**General Manager**
Signature			
Date	**13-08-2026**	**13-08-2026**	**13-08-2026**

FALCON Middle East	**NONCONFORMITY AND CORRECTIVE ACTION REPORT**	Doc. Ref. No. FALCON-PRO-04-FRM 01 Effective Date: 01 April 2026 Rev. No.: 05 Page 1 of 2

NCAR No.	001/2026	Date	13-08-2026
Issued by (Originator)	Ravinder Singh Jaswal	Department	HSE
Issued to (Recipient)	PE	Department	Electrical
Type of Nonconformity (NC)	● Internal Audit (1st Party) ○ External Audit (2nd Party) ○ External Audit (3rd Party) ○ QHSE Inspection ○ Others _____ *(pls specify)*		
Classification of Findings	● Major ○ Observation ○ Minor ○ Opportunity for Improvement		
Details of Nonconformity	No approved MSRA are found during audit and all MSRA need to revise with new TAQ format		
ISO Requirement or Reference Documents	ISO 45001:2018	Estimated Completion Date	**13-11-2026**
Name & Signature of Originator	Ravinder Singh Jaswal 	Acknowledged by: (Name & Signature of Concerned Dept.)	Engr. Saddam Masood 

For concerned Department use:

Correction:	MSRA in new format sent to TQD
Root Cause Analysis:	Communication Gap

Corrective & Preventive Action:	Target Date	Responsible	Date of Implement ation	Signature	Status
MSRA has been sent to TQD on Dated 24-09-2026 for review and approval	13-11-2026	Project Engineer			Open (awaiting for approval from TQD)

Verification of Effectiveness:	
Corrective Action Effective? ● Yes ○ No	
If No, agreed date of next verification:	
Verified by:	***Approved by:***
Originator Name & Signature: Ravinder Singh Jaswal Date:13-08-2026 Remarks:	Name & Signature of General Manager: Date:13-08-2026 Remarks:

FALCON Middle East	**NONCONFORMITY AND CORRECTIVE ACTION REPORT**	Doc. Ref. No. FALCON-PRO-04-FRM 01 Effective Date: 01 April 2026 Rev. No.: 05 Page 1 of 2

NCAR No.	002/2026	Date	13-08-2026
Issued by (Originator)	Ravinder Singh Jaswal	Department	HSE
Issued to (Recipient)	PE	Department	Electrical
Type of Nonconformity (NC)	● Internal Audit (1st Party) ○ External Audit (2nd Party) ○ External Audit (3rd Party) ○ QHSE Inspection ○ Others _____ *(pls specify)*		
Classification of Findings	● Major ○ Observation ○ Minor ○ Opportunity for Improvement		
Details of Nonconformity	No approved HSE plan found as per new format of TQD		
ISO Requirement or Reference Documents	ISO 45001:2018	Estimated Completion Date	**13-11-2026**
Name & Signature of Originator	Ravinder Singh Jaswal 	Acknowledged by: (Name & Signature of Concerned Dept.)	Engr. Saddam Masood 

For concerned Department use:

Correction:		HSE plan in new format sent to TQD
Root Cause Analysis:		Communication Gap

Corrective & Preventive Action:	Target Date	Responsible	Date of Implementation	Signature	Status
HSE plan sent to TQD on Dt: 24-09-2026 for review and approval	13-11-2026	Project Engineer			Open (awaiting for approval from TQD)

Verification of Effectiveness:	
Corrective Action Effective? ● Yes ○ No	
If No, agreed date of next verification:	
Verified by:	***Approved by:***
Originator Name & Signature: Ravinder Singh Jaswal Date:13-08-2026 Remarks:	Name & Signature of General Manager: Date:13-08-2026 Remarks:

NCAR No.	003/2026	Date	13-08-2026
Issued by (Originator)	Ravinder Singh Jaswal	Department	HSE
Issued to (Recipient)	PE	Department	Electrical
Type of Nonconformity (NC)	● Internal Audit (1st Party) ○ External Audit (2nd Party) ○ External Audit (3rd Party) ○ QHSE Inspection ○ Others _____ *(pls specify)*		
Classification of Findings	○ Major ○ Observation ● Minor ○ Opportunity for Improvement		
Details of Nonconformity	Legal Register not updated for the project		
ISO Requirement or Reference Documents	ISO 45001:2018	Estimated Completion Date	**20-11-2026**
Name & Signature of Originator	Ravinder Singh Jaswal	Acknowledged by: (Name & Signature of Concerned Dept.)	Engr. Saddam Masood 

For concerned Department use:

Correction:	Legal Register Updated with Project Number
Root Cause Analysis:	The existing Legal Register was maintained at company level and was not customized for individual projects.

Corrective & Preventive Action:	Target Date	Responsible	Date of Implementation	Signature	Status
Establish a standard project-specific Legal Register template for all ongoing and future projects.	20-11-2026	Project Engineer	15-11-2026		Close

Verification of Effectiveness:	
Corrective Action Effective? ● Yes ○ No	
If No, agreed date of next verification:	
Verified by:	***Approved by:***
Originator Name & Signature: Ravinder Singh Jaswal Date:13-08-2026 Remarks:	Name & Signature of General Manager: Date: 13-08-2026 Remarks: